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# On stratified Mukai flops

Pierre-Emmanuel Chaput and Baohua Fu

## 1 Introduction

In recent studies (see [Na2], [Fu]) of birational geometry of symplectic resolutions of nilpotent orbit closures, three types of flops (which will be called stratified Mukai flops of type  $A, D, E_6$ ) are shown to be fundamental, in the sense that others can be decomposed into a sequence of these flops. Stratified Mukai flops of type  $A$  are given by cotangent bundles of dual Grassmanians  $T^*G(k, V) \dashrightarrow T^*G(k, V^*)$ , which has been previously studied by Markman ([Mar]). The type  $D$  stratified Mukai flop is given by the cotangent bundles of the two connected components of the orthogonal Grassmannian  $G_{iso}(k, 2k)$ , where  $k$  is an odd integer. There are two stratified Mukai flops of type  $E_6$ , corresponding to the pairs of roots  $(\alpha_1, \alpha_6)$  (type  $E_{6,I}$ ) and  $(\alpha_3, \alpha_5)$  (type  $E_{6,II}$ ).

For a stratified Mukai flop  $\mu : T^*(G/P) \dashrightarrow T^*(G/Q)$ , an important problem is to construct explicitly a  $G$ -equivariant equivalence between the derived categories of  $T^*(G/P)$  and  $T^*(G/Q)$  which is compatible with the flop  $\mu$ . It is tempting to believe that a Fourier-Mukai transform with kernel induced by a suitable resolution of this flop could yield such an equivalence.

In [Cha] and [Fu], we showed that the graph of the stratified Mukai flop is in fact smooth, thus it gives a resolution of the flop. Although very natural, this resolution does not lead to easy computations in Chow groups nor in the derived categories. Our first result (Theorem 1, which works also for type  $D$  Mukai flops) of this note is to resolve the stratified Mukai flop of type  $E_{6,I}$  by blow-ups along smooth centers. A similar resolution has already been constructed by Markman [Mar] for stratified Mukai flops of type  $A$ . As we will see, our resolution is constructed in a very similar way, blowing up successively the different  $G$ -orbits, from the smallest to the biggest.

Like the usual Mukai flops, this resolution does not induce an isomorphism between the Chow groups (cf. Example 1, Example 2). To find out a natural isomorphism between the Chow groups, we will first construct a deformation of this flop, then we will show that the deformed flop can be resolved in a similar way. Using a beautiful idea of [L-L-W], we prove that this leads to a natural isomorphism between Chow groups and their Chow motifs. This shows that the stratified Mukai flop of type  $E_{6,I}$  is very similar to the usual Mukai flop. Unfortunately, we do not know if this functor also gives an equivalence between derived categories.

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## 2 The wonderful resolution

Let  $G$  be a simple group of type  $A_n, D_{2n+1}$  or  $E_6$ . Let  $(P, Q)$  be the maximal parabolic subgroups corresponding respectively to the roots  $(\alpha_i, \alpha_{n+1-i})$  with  $2i < n+1$ ,  $(\alpha_{2n}, \alpha_{2n+1})$  and  $(\alpha_1, \alpha_6)$ .

Set  $X_P = G/P$  and  $T_P = T^*(G/P)$ . We denote by  $\pi_P : T_P \rightarrow X_P$  the natural projection. We know that the order on the set of  $G$ -orbits in  $T_P$  given by  $\mathcal{O} \leq \mathcal{O}'$  if  $\mathcal{O} \subset \overline{\mathcal{O}'}$  is a total order, so we choose a labelling  $T_P^i, 0 \leq i \leq r$  of the  $G$ -orbits in  $T_P$  such that  $T_P^i \subset T_P^j$  if and only if  $i \leq j$ . Note that  $r = i$  in type  $A_n$  with  $P, Q$  corresponding to  $\alpha_i, \alpha_{n+1-i}$ , and that  $r$  equals  $n$  in type  $D_{2n+1}$  and

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2 in type  $E_6$ . Similar notations will be used for the parabolic subgroup  $Q$ . It is known that there exists a birational map  $\mu : T_P \dashrightarrow T_Q$ , which will be called a *stratified Mukai flop*. Note that  $\mu$  is an isomorphism between the open orbits  $T_P^r \rightarrow T_Q^r$ .

We consider successive blow-ups defined recursively as follows. Let  $Bl_0(T_P) := T_P$  and  $Bl_0(\overline{T_P^i}) = \overline{T_P^i}$ . For  $1 \leq k \leq r$  an integer, we let  $Bl_k(T_P)$  be the blow-up of  $Bl_{k-1}(T_P)$  along  $Bl_{k-1}(\overline{T_P^{k-1}})$ ,  $Bl_k(\overline{T_P^{k-1}})$  the exceptional divisor of this blow-up and  $Bl_k(\overline{T_P^i})$  ( $i \neq k-1$ ) the proper transform of  $Bl_{k-1}(\overline{T_P^i})$  under this blow-up. We set  $\tilde{T}_P := Bl_r(T_P)$  and  $\tilde{T}_P^i := Bl_r(\overline{T_P^i})$ . Note that the natural blow down map restricts to an isomorphism over the open  $G$ -orbit. We also consider the analogous construction for  $Q$ .

**Theorem 1** *Under the above notations, we have*

- (i) *The varieties  $Bl_k(\overline{T_P^k})$ ,  $Bl_k(T_P)$  are smooth for any  $k$ . The divisors  $\tilde{T}_P^i$  ( $i = 0, \dots, r-1$ ) are smooth irreducible with normal crossing inside  $\tilde{T}_P$  and  $\text{Pic}(\tilde{T}_P) \simeq \text{Pic}(G/P) \oplus_{i=0}^{r-1} \mathbb{Z}[\tilde{T}_P^i]$ ;*
- (ii) *Two points  $x, y \in \tilde{T}_P$  belong to the same  $G$ -orbit if and only if  $\{i \mid x \in \tilde{T}_P^i\} = \{j \mid y \in \tilde{T}_P^j\}$ ;*
- (iii) *There is a unique  $G$ -equivariant isomorphism  $\tilde{\mu} : \tilde{T}_P \simeq \tilde{T}_Q$  such that  $\tilde{\mu}$  identifies with  $\mu$  over the open  $G$ -orbit.*

**Remark 1** 1). *Claims (i) and (ii) are some properties of wonderful compactifications of symmetric spaces ( $[C-P]$ ). This is why we called this resolution wonderful.*

2). *We do not know if a similar result holds for stratified Mukai flop of type  $E_{6,II}$ . In this case, the inclusion relationship of orbit closures in  $T^*X_P$  is no longer linear.*

We will need the following lemma in the proof of the theorem.

**Lemma 1** *Let  $Y$  be a variety and assume there are morphisms  $t_P : Y \rightarrow T_P, x_Q : Y \rightarrow X_Q$  such that  $t_P^{-1}(T_P^r)$  is dense in  $Y$  and  $\forall y \in t_P^{-1}(T_P^r), \pi_Q \circ \mu \circ t_P(y) = x_Q(y)$ . Then there is a unique morphism  $t_Q : Y \rightarrow T_Q$  which lifts  $x_Q$  via  $\pi_Q$  and such that  $\forall y \in t_P^{-1}(T_P^r), \mu \circ t_P(y) = t_Q(y)$ .*

**Proof :** The uniqueness of  $t_Q$  is clear. Now we prove the existence following [Cha]. A pair  $(p, q) \in X_P \times X_Q$  is called *incident* if  $\text{Stab}(p) \cap \text{Stab}(q)$  is a parabolic subgroup of  $G$ . For  $p \in X_P$ , let  $C_p \subset X_Q$  denote the set of points incident to  $p$ , and define similarly  $C_q$ , for  $q \in X_Q$ . For an incident pair  $(p, q)$ , recall that there is a well-defined linear isomorphism  $\mu(p, q) : T_p X_P / T_p C_q \rightarrow T_q X_Q / T_q C_p$  [Cha, theorem 4.1]. Let  $(T_q C_p)^\perp$  be the subspace of  $T_q^* X_Q$  consisting of co-vectors vanishing identically on  $T_q C_p$ . Similarly, we get  $(T_p C_q)^\perp$ . The transposed map  ${}^t \mu(p, q) : (T_q C_p)^\perp \rightarrow (T_p C_q)^\perp$  is then again an isomorphism. Furthermore by [Cha, theorem 4.1], for any  $t \in (T_p C_q)^\perp \cap T_P^r$ , we have  $\mu(t) = (q, {}^t \mu(p, q)^{-1}(t))$ .

Note that for any  $y \in Y$ , the pair  $p := \pi_P \circ t_P(y)$  and  $q := x_Q(y)$  are incident. In fact, this is true if  $y \in t_P^{-1}(T_P^r)$  (see for example [Cha, theorem 3.3]). Now the density of  $t_P^{-1}(T_P^r)$  implies that this holds for all  $y \in Y$ . We claim that for any  $y \in Y$ ,  $t_P(y) \in (T_p C_q)^\perp$ . In fact, if  $y \in t_P^{-1}(T_P^r)$ , then  $t_P(y) \in T_P^* X_P \cap T_q^* X_Q = \mathfrak{p}^\perp \cap \mathfrak{q}^\perp \simeq (T_p C_q)^\perp$ , where  $\mathfrak{p}$  and  $\mathfrak{q}$  are the Lie subalgebras of  $\text{Stab}(p)$  and  $\text{Stab}(q)$ . Again the claim follows from the density of  $t_P^{-1}(T_P^r)$ . Therefore, to conclude the proof of the lemma, it is enough to set  $x_P(y) := \pi_P \circ t_P(y)$  and define

$$t_Q(y) := (x_Q(y), {}^t \mu(x_P(y), x_Q(y))^{-1}(t_P(y))).$$

□

**Proof of the theorem :** The uniqueness of  $\tilde{\mu}$  follows from the fact that  $\tilde{T}_P^r$  is dense in  $\tilde{T}_P$ , so let us prove the existence of  $\tilde{\mu}$ . Our proof is in three steps : first we give a description of  $\tilde{T}_P$  in terms of complete collineations; from this description we then deduce the existence of a morphism  $\tilde{T}_P \rightarrow \tilde{T}_Q$ . Finally, we show that this morphism and the analogous morphism  $\tilde{T}_Q \rightarrow \tilde{T}_P$  are inverses one to the

other. This method is very similar to that of Markman ([Mar]), where he proved the theorem for stratified Mukai flops of type A. We will give a complete proof in the case of  $E_6$ , and explain how this adapts to type D.

So consider first the case  $G = E_6$ . Then a Levi factor  $L$  of  $P$  is a semi-direct product of  $\mathbb{C}^*$  and  $Spin_{10}$ , and if  $x$  denotes the point in  $G/P$  with stabilizer  $P$ , the representation  $T_x^*X_P$  of  $L$  is a (16-dimensional) spin representation [Fau, theorem 2.10]. We will denote this  $L$ -representation by  $\mathbb{S}_+$ .

Let  $W$  be the natural 10-dimensional  $L$ -representation and  $\mathcal{Q} \subset \mathbb{P}W$  the corresponding smooth quadric. The variety  $\mathbb{P}\mathbb{S}_+$  has two  $L$ -orbits and the closed one will be denoted by  $X_+$ , which is naturally isomorphic to one component of the Grassmanian of maximal isotropic vector subspaces in  $W$ . Let  $\widehat{X}_+ \subset \mathbb{S}_+$  be the cone of  $X_+$ .

Recall [Che, p.102,103] that there is an  $L$ -equivariant quadratic map  $\nu_2 : \mathbb{S}_+ \rightarrow W$  with  $\nu_2^{-1}(0) = \widehat{X}_+$  and the image is contained in the affine cone of  $\mathcal{Q}$ . This gives a rational map  $\overline{\nu}_2 : \mathbb{P}\mathbb{S}_+ \dashrightarrow \mathcal{Q}$ .

Let us denote by  $CS_+$  the variety of “complete 10-dimensional spinors” defined as the graph closure of the rational map  $\overline{\nu}_2$ . This name comes from the cases A, D. By the following lemma 3,  $CS_+ \rightarrow \mathbb{P}\mathbb{S}_+$  is isomorphic to the blow-up  $\phi : Bl_{X_+}(\mathbb{P}\mathbb{S}_+) \rightarrow \mathbb{P}\mathbb{S}_+$  of  $\mathbb{P}\mathbb{S}_+$  along  $X_+$ .

Let  $Bl_1(\mathbb{S}_+) \rightarrow \mathbb{S}_+$  be the blow-up of  $\mathbb{S}_+$  along the origin, then the exceptional fiber is  $\mathbb{P}\mathbb{S}_+$  and  $Bl_1(\mathbb{S}_+)$  is the total space of the tautological line bundle  $\mathcal{O}(-1)$  over  $\mathbb{P}\mathbb{S}_+$ . The strict transform of  $\widehat{X}_+$  is then the total space of the line bundle  $\mathcal{O}(-1)|_{X_+}$ , which is smooth. Claims (i) and (ii) of the theorem follow immediately. Let  $Bl_2(\mathbb{S}_+)$  be the blow-up of  $Bl_1(\mathbb{S}_+)$  along the strict transform of  $\widehat{X}_+$ . Then the above discussions give that  $Bl_2(\mathbb{S}_+)$  is just the total space of the line bundle  $\phi^*\mathcal{O}(-1)$  over  $Bl_{X_+}(\mathbb{S}_+) \simeq CS_+$ . The second graph projection gives a morphism  $CS_+ \rightarrow \mathcal{Q}$ , thus a morphism  $\phi^*\mathcal{O}(-1) \rightarrow \mathcal{Q}$ . This is the local model, and the globalization can be obtained as follows.

By the Bruhat decomposition theorem, all homogeneous spaces (such as  $X_P$ ) are covered by affine spaces, and thus are locally isomorphic with their tangent spaces. In our case, we thus have an isomorphism  $U_P \simeq \mathbb{S}_+^*$  (where  $U_P \subset X_P$  is a suitable open subset). We deduce that the restriction of  $T^*X_P$  to  $U_P$  is isomorphic with  $\mathbb{S}_+^* \times \mathbb{S}_+$ . Moreover, this isomorphism is  $L$ -equivariant.

By [Igu], there are three  $L$ -orbits in  $\mathbb{S}_+$ , which give via this isomorphism the intersection of the three  $G$ -orbits in  $T^*X_P$  with  $T^*U_P$ . Therefore, above  $U_P$ , the closure of the  $G$ -orbits are trivial fibrations, and to understand the result of the successive blow-ups, it is enough to understand this in one fiber.

Recall from [Cha] that  $X_P$  has a minimal equivariant projective embedding  $X_P \subset \mathbb{P}V$ , with  $\dim V = 27$ , and that  $X_Q$  naturally embeds in  $\mathbb{P}V^*$ . In terms of this embedding,  $W$  is a linear subspace of  $V^*$  (in fact, it is the orthogonal of the 17-dimensional affine tangent space  $\widehat{T_x X_P} \subset V$ ), and  $\mathcal{Q} = X_Q \cap \mathbb{P}W \subset \mathbb{P}W$ . Recall that  $L$  is a Levi subgroup of  $P$ , so we can regard  $W$  as a  $P$ -representation. Now consider the vector bundle  $G \times^P W$  over  $X_P$  (it is in fact the conormal bundle of  $X_P$  in  $\mathbb{P}V$ ) and the relative quadric over  $X_P$  defined by  $G \times^P \mathcal{Q}$ . The previous construction gives a map  $\tilde{T}_P \rightarrow G \times^P \mathcal{Q}$  over  $X_P$ . We have a natural inclusion  $G \times^P \mathcal{Q} \rightarrow G \times^P (G/Q) \simeq G/P \times G/Q$ . The second projection of the latter yields our desired morphism  $\tilde{T}_P \rightarrow X_Q$ . Its restriction to each fiber, considered as a rational map, is an  $L$ -equivariant rational map from a projectivised spinor representation of  $L$  to the 8-dimensional projective smooth quadric; by [Cha, proposition 1.5], it coincides with  $\pi_Q \circ \mu$ .

By lemma 1 and [Cha, theorem 4.1], this morphism lifts to a morphism  $\tilde{T}_P \rightarrow T_Q$ . Assume that it lifts further to a  $G$ -equivariant morphism  $\tilde{T}_P \rightarrow Bl_{k-1}(T_Q)$  for some  $k \in \{1, 2\}$ , then one checks that the preimage of  $Bl_{k-1}(\overline{T_Q^{k-1}})$  is a divisor, which implies that this morphism lifts to a  $G$ -equivariant morphism  $\tilde{T}_P \rightarrow Bl_k(T_Q)$ . We thus get a morphism  $\tilde{\mu} : \tilde{T}_P \rightarrow \tilde{T}_Q$ . We have already checked that the restriction of  $\tilde{\mu}$  to  $\tilde{T}_P^r \simeq T_P^r$  to  $\tilde{T}_Q^r \simeq T_Q^r$  identifies with the flop  $\mu$ .

We now consider the analogous morphism  $\tilde{\mu}_Q : \tilde{T}_Q \rightarrow \tilde{T}_P$ . Since it also coincides with the Mukai flop on the open orbit, it follows that  $\tilde{\mu}_Q \circ \tilde{\mu} : \tilde{T}_P \rightarrow \tilde{T}_P$  is the identity on the open orbit; therefore it is the identity. So the theorem is proved in type  $E_6$ .

We finally explain how this proof adapts to the type  $D_{2n+1}$ . Assume that  $G$  is of type  $D_{2n+1}$ . Let

$\mathbb{C}^{4n+2}$  be equipped with a non-degenerate quadratic form. Let  $\alpha \in G/P$  and  $L_\alpha$  the corresponding maximal isotropic subspace. As before, the fiber  $\tilde{T}_\alpha$  of  $\tilde{T}_P \rightarrow X_P$  over  $\alpha$  is the result of the successive blowups of  $T_\alpha(G/P) \simeq \wedge^2 L_\alpha$  along the strict transforms of the  $P$ -orbits in  $T_\alpha(G/P)$ . Recall that the space of complete skew-symmetric forms in  $L_\alpha^*$  is the graph of the rational morphism

$$\begin{aligned} \mathbb{P}(\wedge^2 L_\alpha) &\dashrightarrow \mathbb{P}(\wedge^2 L_\alpha) \times \mathbb{P}(\wedge^4 L_\alpha) \times \cdots \times \mathbb{P}(\wedge^{2n} L_\alpha) \\ [\omega] &\mapsto ([\omega], [\omega \wedge \omega], \dots, [\omega^{\wedge n}]) \end{aligned}$$

By the following proposition 1,  $\tilde{T}_\alpha$  identifies with the total space of a line bundle over the space of complete skew-symmetric forms in  $L_\alpha^*$ .

Now, a point in  $\tilde{T}_\alpha$  therefore defines an element  $(x_1, \dots, x_n) \in \mathbb{P}(\wedge^2 L_\alpha) \times \mathbb{P}(\wedge^4 L_\alpha) \times \cdots \times \mathbb{P}(\wedge^{2n} L_\alpha)$  in the set of complete skew-symmetric forms in  $L_\alpha^*$ . The last element  $x_n$  belongs to  $\mathbb{P}(\wedge^{2n} L_\alpha) = \mathbb{P}L_\alpha^*$ ; thus it defines a hyperplane in  $L_\alpha$ . Since there is a unique maximal isotropic subspace parameterized by an element in  $X_Q$  and meeting  $L_\alpha$  along this hyperplane, there is a natural morphism  $\tilde{T}_\alpha \rightarrow X_Q$ . Then we can apply Lemma 1 and the previous arguments to conclude.  $\square$

We now turn to the proof of the lemma used in the previous proof. Recall that we defined

$$CS_+ := \overline{\{([s], [\nu_2(s)]) \mid s \in \mathbb{S}_+ - \widehat{X}_+\}} \subset \mathbb{P}\mathbb{S}_+ \times \mathbb{Q},$$

where  $\nu_2 : \mathbb{S}_+ \rightarrow W$  is a  $Spin_{10}$ -equivariant quadratic map.

Recall that there exists a unique symmetric bilinear map  $\tilde{\nu}_2 : \mathbb{S}_+ \times \mathbb{S}_+ \rightarrow W$  such that  $\tilde{\nu}_2(s, s) = 2\nu_2(s)$ . Recall that  $W$  is the natural 10-dimensional representation of  $Spin_{10}$ ; it is therefore equipped with a scalar product that we denote by  $\langle \cdot, \cdot \rangle$ .

**Lemma 2** *The variety  $CS_+$  coincides with the variety*

$$C := \{([s], [x]) \in \mathbb{P}\mathbb{S}_+ \times \mathbb{Q} \mid \forall t \in \mathbb{S}_+, \langle \tilde{\nu}_2(s, t), x \rangle = 0\}.$$

**Proof :** For  $s \in \mathbb{S}_+ - \widehat{X}_+$ , let  $x = \nu_2(s) \in \widehat{\mathbb{Q}}$ . For any  $t \in \mathbb{S}_+$ , we have  $\nu_2(s + t\epsilon) \in \widehat{\mathbb{Q}}$  for all  $\epsilon$ . Thus  $\frac{d}{d\epsilon}|_{\epsilon=0} \nu_2(s + t\epsilon) = \tilde{\nu}_2(s, t) \in \widehat{T_x \mathbb{Q}} = x^\perp$ , thus  $CS_+ \subset C$ . On the other hand, the projection morphism  $C \rightarrow \mathbb{Q}$  is a locally trivial bundle with projective spaces as fibers, so  $C$  is irreducible. Note that if  $([s], [x]) \in C$  with  $\nu_2(s) \neq 0$ , then  $[\nu_2(s)] = [x]$  because  $\text{Im}(\tilde{\nu}_2(s, \cdot)) = x^\perp$ . This shows that over  $\mathbb{P}\mathbb{S}_+ - X_+$ , the two irreducible projective varieties  $CS_+$  and  $C$  coincide. As  $CS_+ \subset C$ , we have the equality.  $\square$

**Lemma 3**  $CS_+ \simeq Bl_{X_+}(\mathbb{P}\mathbb{S}_+)$ .

**Proof :** By lemma 2,  $CS_+$  is the total space of a locally trivial fibration with projective spaces as fibers, thus  $CS_+$  is a smooth variety. Moreover, the fiber over a point  $[s] \in X_+$  of the graph projection  $CS_+ \rightarrow \mathbb{P}\mathbb{S}_+$  is then isomorphic to  $\mathbb{P}\text{Im}(\tilde{\nu}_2(s, \cdot)) \simeq \mathbb{P}^4$ . From this, we see that the preimage of  $X_+$  in  $CS_+$  is a divisor. By the universal property of blow-ups, it follows that there is a morphism  $f : CS_+ \rightarrow Bl_{X_+}(\mathbb{P}\mathbb{S}_+)$ . Note that the kernel of  $\tilde{\nu}_2(s, \cdot)$  is the affine tangent space  $\widehat{T_{[s]} X_+}$ , and  $f$  restricts to an isomorphism between  $\mathbb{P}\text{Im}(\tilde{\nu}_2(s, \cdot))$  and  $\mathbb{P}(\mathbb{S}_+ / \widehat{T_{[s]} X_+})$ . Therefore,  $f$  is a bijective birational morphism. By Zariski's main theorem, it is an isomorphism.  $\square$

We now turn to the case of complete skew-forms. Let  $n$  be an integer, and let  $V$  be a vector space of dimension  $2n$  or  $2n + 1$ .

**Definition 1**

- The variety of complete skew-forms on  $V$  is the closure of the graph (denoted by  $\overline{\mathbb{P} \wedge^2 V^*}$ ) of the rational map

$$\begin{aligned} \psi : \mathbb{P}(\wedge^2 V^*) &\dashrightarrow \mathbb{P}(\wedge^4 V^*) \times \cdots \times \mathbb{P}(\wedge^{2n} V^*) \\ [\omega] &\mapsto ([\omega \wedge \omega], \dots, [\omega^{\wedge n}]). \end{aligned}$$

- We denote by  $Bl(\mathbb{P} \wedge^2 V^*)$  the variety obtained by blowing up successively the strict transforms of the different  $SL(V)$ -orbits in  $\mathbb{P} \wedge^2 V^*$ , from the smallest to the biggest.

**Proposition 1** *There is an  $SL(V)$ -equivariant isomorphism  $\overline{\mathbb{P} \wedge^2 V^*} \simeq Bl(\mathbb{P} \wedge^2 V^*)$ .*

**Proof :** This result on such a classical subject should be known to specialists. We include a short proof here, taking advantage of the recursive nature of complete skew forms, only because we were not able to find it in the literature. In [Tha, theorem 11.1], a similar result is proved, but it is not precisely what we need.

We first show by induction that  $\overline{\mathbb{P} \wedge^2 V^*}$  and  $Bl(\mathbb{P} \wedge^2 V^*)$  are smooth, and that the pre-image of each orbit in  $\mathbb{P} \wedge^2 V^*$  is an irreducible divisor. We choose a subspace  $L \subset V$  of dimension 2. Let  $U$  denote the open subset of  $\mathbb{P} \wedge^2 V^*$  of elements  $[\omega']$  such that  $\omega'_L \neq 0$ . Given  $[\omega'] \in U$ , let  $S_{[\omega']}$  be the orthogonal of  $L$  in  $V$  with respect to  $\omega'$ , which defines a morphism  $p : U \rightarrow G(\dim V - 2, V)$ . Let  $q : \overline{\mathbb{P} \wedge^2 V^*} \rightarrow \mathbb{P} \wedge^2 V^*$  be the natural projection and  $g : q^{-1}(U) \rightarrow G(\dim V - 2, V)$  the composition. Fix an element  $S_{[\omega']} \in G(\dim V - 2, V)$ , then  $V = L \oplus S_{[\omega']}$ . By definition,  $g^{-1}(S_{[\omega']}) = \{([\omega], \dots, [\omega^{\wedge n}]) | \omega \in \wedge^2 V^*, \omega(L, S_{[\omega']}) = 0\}$ .

We fix a non-zero element  $\lambda \in \wedge^2 L^*$ . Any element  $[\omega]$  such that  $\omega(L, S_{[\omega']}) = 0$  can be uniquely written as the class of  $\lambda + \omega_0$ , with  $\omega_0 \in \wedge^2 S_{[\omega']}^*$ . Note that  $(\lambda + \omega_0)^{\wedge i} = \omega_0^{\wedge i} + i\lambda \wedge \omega_0^{\wedge(i-1)}$ . From this one can deduce that  $g^{-1}(S_{[\omega']})$  is isomorphic to the total space of a line bundle  $L$  over  $\{([\omega_0], \dots, [\omega_0^{\wedge n-1}]) | \omega_0 \in \wedge^2 S_{[\omega']}^*\}$ , namely the pull-back of the tautological line bundle on  $\mathbb{P} \wedge^2 S_{[\omega']}^*$ . Thus  $g : q^{-1}(U) \rightarrow G(\dim V - 2, V)$  is a fibration with fiber  $L$ . Thus the claim follows from induction. Similar arguments prove the claim for  $Bl(\mathbb{P} \wedge^2 V^*)$ .

By the universal property of blow-ups, we obtain a surjective morphism  $f : \overline{\mathbb{P} \wedge^2 V^*} \rightarrow Bl(\mathbb{P} \wedge^2 V^*)$ . By Zariski's main theorem,  $f$  has connected fibers, thus every exceptional divisor of the morphism  $\overline{\mathbb{P} \wedge^2 V^*} \rightarrow \mathbb{P} \wedge^2 V^*$  is mapped birationally to an exceptional divisor of  $Bl(\mathbb{P} \wedge^2 V^*) \rightarrow \mathbb{P} \wedge^2 V^*$ , which gives that  $Exc(f)$  has codimension  $\geq 2$  if non-empty. As  $f$  is a birational map between two smooth varieties, thus  $Exc(f)$  is empty and  $f$  is an isomorphism.  $\square$

We will now study in more detail the case of  $G = E_6$ , in particular, we will give another more geometric proof of our theorem in this case. Let  $Z$  denote the graph closure of the flop  $T_P \dashrightarrow T_Q$  and  $R = P \cap Q$  the standard parabolic subgroup corresponding to the roots  $\alpha_1, \alpha_6$ . We denote by  $\mathfrak{u}(P), \mathfrak{u}(Q)$  the nil-radical of the Lie algebras of  $P$  and  $Q$  respectively. Let  $\mathfrak{n} := \mathfrak{u}(P) \cap \mathfrak{u}(Q)$ . Then  $\mathfrak{n}$  is an 8-dimensional  $R$ -representation and it is shown in [Fu, Theorem 6.1] that the graph closure  $Z$  is isomorphic to  $G \times^R \mathfrak{n}$ . Furthermore the first graph projection  $Z \rightarrow T_P$  is isomorphic to the composition of natural maps  $G \times^R \mathfrak{n} \simeq G \times^P (P \times^R \mathfrak{n}) \rightarrow G \times^P (P \cdot \mathfrak{n})$ . In particular,  $P \cdot \mathfrak{n} = \mathfrak{u}(P)$  is naturally identified to  $\mathbb{S}_+$ .

**Lemma 4** *The natural map  $\eta : P \times^R \mathbb{P}\mathfrak{n} \rightarrow \mathbb{P}\mathbb{S}_+$  is the blow-up  $\phi : Bl_{X_+}(\mathbb{P}\mathbb{S}_+) \rightarrow \mathbb{P}\mathbb{S}_+$  of  $\mathbb{P}\mathbb{S}_+$  along  $X_+$ . The natural map  $G \times^R \mathbb{P}\mathfrak{n} \rightarrow G \times^P \mathbb{P}(\mathfrak{u}(P))$  is the blow-up of  $G \times^P \mathbb{P}(\mathfrak{u}(P))$  along the unique  $G$ -closed orbit, say  $O_P$ .*

**Proof :** First we study the  $R$ -representation  $\mathfrak{n}$ . Let  $L$  be a Levi factor of  $R$ . As one can see on the Dynkin diagram,  $L$  contains  $Spin_8$ , so let  $M \simeq Spin_8$  be a subgroup of  $L$ . We consider an element  $x$  in the root space corresponding to the highest root of  $\mathfrak{e}_6$ . With the notations of [Bou], this highest root is  $\tilde{\alpha} = \alpha_1 + 2\alpha_3 + 3\alpha_4 + 2\alpha_5 + \alpha_6 + 2\alpha_2$ . If  $h_{\alpha_i}$  is the element of the Cartan subalgebra corresponding to the simple root  $\alpha_i$ , it is therefore easy to compute that  $\tilde{\alpha}(h_{\alpha_i}) = 0, 0, 0, 1$  for  $i = 3, 4, 5, 2$ . It therefore follows that  $x$ , considered as an element of the  $M$ -representation  $\mathfrak{n}$ , has positive weight, and is not a sum of two positive weights. Therefore, its weight is a fundamental weight of  $M \simeq Spin_8$ , and since  $\dim \mathfrak{n} = 8$ , we deduce that  $\mathfrak{n}$  is either the natural or a spinor representation of  $M \simeq Spin_8$ . It is thus a consequence of the triality principle which exchanges these three representations that there are three  $R$ -orbits in  $\mathfrak{n}$ , of dimensions 0, 7 and 8 respectively. This implies that in  $\mathbb{P}\mathfrak{n}$  there are only two  $R$ -orbits.

Let  $O$  be the closed  $P$ -orbit in  $P \times^R \mathbb{P}^n$ , which is of codimension 1. The map  $\eta$  contracts  $O$  to  $X_+$ , and it maps the open  $P$ -orbit isomorphically to the open  $P$ -orbit in  $\mathbb{P}S_+$ . By the universal property of blow-ups, we have a morphism  $\psi : P \times^R \mathbb{P}^n \rightarrow Bl_{X_+}(\mathbb{P}S_+)$ , which induces a surjective (thus generically finite) map  $\psi_1 : O \rightarrow Exc(\phi)$ . By Zariski's main theorem, the fibers of  $\psi$  (thus also  $\psi_1$ ) are connected, so  $\psi_1$  is generically of degree one, which implies that  $\text{codim } Exc(\psi) \geq 2$  if  $Exc(\psi) \neq \emptyset$ . On the other hand,  $\psi$  is a birational morphism between two smooth varieties, so  $Exc(\psi)$  is either empty or of pure codimension 1. Thus  $Exc(\psi) = \emptyset$  and  $\psi$  is an isomorphism. The second claim follows immediately.  $\square$

**Lemma 5** *The birational map  $G \times^P \mathbb{P}(u(P)) \dashrightarrow G \times^Q \mathbb{P}(u(Q))$  is a family of  $\mathbb{P}^5$ -Mukai flops with center  $O_P$ .*

**Proof :** Note that  $O_P$  has two natural fibrations:  $O_P \rightarrow G/P$  and  $f : O_P \rightarrow \mathbb{P}\mathcal{O}_{min} = G/P_2$ , where  $P_2$  is the maximal parabolic associated to the root  $\alpha_2$ . Since moreover  $O_P$  is closed, this shows that  $O_P$  is the incidence variety  $G/(P \cap P_2)$ . Thus  $f$  is a locally trivial  $P_2/(P \cap P_2) \simeq \mathbb{P}^5$ -bundle. Let  $\tilde{O}_P$  be its pre-image in  $G \times^P (u(P) - 0)$ , then  $\tilde{f} : \tilde{O}_P \rightarrow \mathcal{O}_{min}$  is again a  $\mathbb{P}^5$ -bundle, thus the normal bundle of  $\tilde{O}_P$  is isomorphic to  $\Omega_{\tilde{f}}$  (see for example [Huy], section 3). Now it follows that the normal bundle of  $O_P$  in  $G \times^P \mathbb{P}(u(P))$  is isomorphic to  $\Omega_f$ . By Lemma 4, the blowing-up of  $G \times^P \mathbb{P}(u(P))$  along  $\tilde{O}_P$  gives  $G \times^R \mathbb{P}^n$ , while the latter is also the blowing-up of  $G \times^Q \mathbb{P}(u(Q))$  along  $O_Q$ , concluding the proof.  $\square$

We now give a more geometric proof of theorem 1 in the case  $E_{6,I}$  :

**Theorem 2** *Consider the stratified Mukai flop of type  $E_{6,I}, \mu : T_P \dashrightarrow T_Q$ . Let  $Bl_1(T_P) \rightarrow T_P$  (resp.  $Bl_1(T_Q) \rightarrow T_Q$ ) be the blow-up of  $T_P$  (resp.  $T_Q$ ) along the zero section and  $Bl_1(\bar{T}_P^1)$  (resp.  $Bl_1(\bar{T}_Q^1)$ ) the strict transform of the closure of  $\tilde{O}_P$  (resp.  $\tilde{O}_Q$ ). Then the birational map  $Bl_1(T_P) \dashrightarrow Bl_1(T_Q)$  is a family of  $\mathbb{P}^5$ -Mukai flops with center  $Bl_1(\bar{T}_P^1)$ . In particular, there exists a  $G$ -equivariant isomorphism  $\tilde{\mu} : \tilde{T}_P \simeq \tilde{T}_Q$  which identifies with  $\mu$  over the open  $G$ -orbits.*

**Proof :** Let  $\bar{\mathcal{O}}$  be the nilpotent orbit closure which is resolved by  $T_P$  and  $T_Q$ . Let  $Bl_1(\bar{\mathcal{O}})$  be the blow-up of  $\bar{\mathcal{O}}$  along the origin. By the universal property of blow-ups, we obtain morphisms  $Bl_1(T_P) \rightarrow Bl_1(\bar{\mathcal{O}}) \leftarrow Bl_1(T_Q)$ . To simplify the notations, we let  $Y_P = G \times^P \mathbb{P}(u(P))$  and  $Y_Q = G \times^Q \mathbb{P}(u(Q))$ . Then  $Bl_1(T_P)$  (resp.  $Bl_1(T_Q)$ ,  $Bl_1(\bar{\mathcal{O}})$ ) is just the total space of the tautological line bundle  $\mathcal{O}_{Y_P}(-1)$  (resp.  $\mathcal{O}_{Y_Q}(-1)$ ,  $\mathcal{O}_{\mathbb{P}\bar{\mathcal{O}}}(-1)$ ). The birational map  $Bl_1(T_P) \dashrightarrow Bl_1(T_Q)$  is just the pull-back via the morphism  $\mathcal{O}_{\mathbb{P}\bar{\mathcal{O}}}(-1) \rightarrow \mathbb{P}\bar{\mathcal{O}}$  of the birational map  $Y_P \dashrightarrow Y_Q$ . Now the claim follows from the precedent lemma.  $\square$

Now we will relate the variety  $\tilde{T}_P$  to the graph closure  $Z$  of the flop  $\mu$ . Let  $S \simeq G/R$  denote the closed  $G$ -orbit in  $Z$ . By the precedent theorem,  $\tilde{T}_P$  gives a resolution of the flop  $\mu : T_P \dashrightarrow T_Q$ , thus there exists a birational morphism  $\gamma : \tilde{T}_P \rightarrow Z$ .

**Corollary 1** *The morphism  $\gamma : \tilde{T}_P \rightarrow Z$  is isomorphic to the blow-up of  $Z$  along  $S$ .*

**Proof :** Note that  $Z$  is smooth, so  $Exc(\gamma)$  is of pure codimension 1. The complementary of the open  $G$ -orbit in  $\tilde{T}_P$  consists of two irreducible divisors:  $\tilde{T}_P^0$  and  $\tilde{T}_P^1$ . By Lemma 4,  $\gamma$  maps  $\tilde{T}_P^1 - \tilde{T}_P^0$  isomorphically to its image, which is the blow-up of the 27-dimensional  $G$ -orbit in  $T_P$ . This implies that  $\text{supp}(Exc(\gamma)) = \tilde{T}_P^0$ . Now a similar argument as that in the proof of Lemma 4 proves the corollary.  $\square$

To summarize, the geometric picture of the flop  $\mu : T_P \dashrightarrow T_Q$  is as follows: the 27-dimensional  $G$ -orbit is a  $\mathbb{P}^5$ -bundle over the 22-dimensional orbit in the nilpotent orbit closure  $\bar{\mathcal{O}}$ . The flop  $\mu$  when

restricted to the complementary of the zero section in  $T_P$  is just a standard Mukai flop of  $\mathbb{P}^5$ -bundles. This restricted flop is resolved by just one blow-up, which is given by  $G \times^R (\mathfrak{n} - \{0\})$ . Thus  $\mu$  can be regarded as a degeneration of a 22-dimensional family of  $\mathbb{P}^5$ -Mukai flops. Note that such a picture is very similar to stratified Mukai flops:  $T^*Gr(2, V) \dashrightarrow T^*Gr(2, V^*)$ .

### 3 Deformations of stratified Mukai flops

In this section, we will consider only the  $E_{6,I}$  case, which share many analogous properties with the standard Mukai flop (see for example [Cha]). As before, let  $P := P_1$  and  $P_6$  be the maximal parabolic subgroups of  $E_6$ . They have conjugate Levi subgroups, so we can choose  $Q$  in the conjugacy class of  $P_6$  such that  $P$  and  $Q$  have the same Levi subgroup  $L$ . Note that the center  $\mathfrak{c}$  of the Lie algebra of  $L$  is one-dimensional.

**Lemma 6**  $\mathfrak{c} \oplus \mathfrak{u}(P)$  is stable under  $P$ , and the stabilizer of the line  $\mathfrak{c}$ , considered as an element in  $\mathbb{P}\mathfrak{g}$ , is  $L$ .

**Proof :** Let  $U$  denote the unipotent radical of  $P$ . According to the Levi decomposition theorem, we have  $P = LU$ . Therefore, if  $p = lu$ , and  $x \in \mathfrak{c}$ , we have  $p.x \equiv l.x \equiv x$  modulo  $\mathfrak{u}(P)$ , proving the first point.

For the second point, denote  $\mathfrak{z}(\mathfrak{c})$  the set of elements  $z \in \mathfrak{g}$  such that  $\forall c \in \mathfrak{c}, [z, c] = 0$  and  $Stab(\mathfrak{c}) = \{g \in G : g.\mathfrak{c} \subset \mathfrak{c}\}$ . Note that  $\mathfrak{l} \subset \mathfrak{z}(\mathfrak{c})$ , and for reason of equal dimension, we have the equality  $Stab(\mathfrak{c}) \subset Stab(\mathfrak{z}(\mathfrak{c})) = Stab(\mathfrak{l})$ . Now let  $\mathfrak{p}$  and  $\mathfrak{q}$  be the two parabolic subalgebras containing  $\mathfrak{l}$ , and let  $P, Q$  be the corresponding parabolic subgroups. We have  $Stab(\mathfrak{l}) \subset Stab(\mathfrak{p}) \cap Stab(\mathfrak{q}) = P \cap Q = L$ .  $\square$

Let  $T_P = T^*(G/P)$  and  $T_Q = T^*(G/Q)$  be the cotangent spaces. We have the one-dimensional smooth flat deformations of these two varieties:  $E_P := G \times^P (\mathfrak{c} + \mathfrak{u}(P))$  and  $E_Q := G \times^Q (\mathfrak{c} + \mathfrak{u}(Q))$ .

**Lemma 7** There exists a  $G$ -equivariant birational map  $\psi : E_P \dashrightarrow E_Q$  which deforms the Mukai flop  $\mu : T_P \dashrightarrow T_Q$  to isomorphisms outside the zero fibers.

**Proof :** Let  $z \in \mathfrak{c}$  be a non-zero element. By the previous lemma, its stabilizer in  $G$  is  $L$  itself. Let  $U$  be the unipotent part of  $P$ , then  $P.z = U.z$ . Note that  $U.z$  is closed and has  $z + \mathfrak{u}(P)$  as the tangent space at  $z$ , which gives  $z + \mathfrak{u}(P) = P.z$ , thus  $G.(z + \mathfrak{u}(P)) = G.z$  and  $\mathcal{N} := G.(\mathfrak{c} + \mathfrak{u}(P)) = G.(\mathfrak{c} + \mathfrak{u}(Q))$ .

We have a natural  $G$ -equivariant projective morphism  $e_P : E_P \rightarrow \mathcal{N}$ . Lemma 6 implies that  $e_P$  is an isomorphism outside the singular locus of  $\overline{\mathcal{O}} := G.\mathfrak{u}(P) = G.\mathfrak{u}(Q)$ , so  $e_P$  is a small resolution. In a similar way, we have a small resolution  $e_Q : E_Q \rightarrow \mathcal{N}$ . Now the claim follows.  $\square$

Our next task is to resolve this birational map. Recall we have a stratification  $T_P^0 \subset \overline{T_P^1} \subset T_P$ . We will regard these varieties as subvarieties in  $E_P$ . Let  $Bl_1(E_P)$  be the blow-up of  $E_P$  along  $T_P^0$  and  $\tilde{E}_P$  the blow-up of  $Bl_1(E_P)$  along the strict transform of  $\overline{T_P^1}$ . Similarly we can get  $\tilde{E}_Q$ . The next theorem is analogous to Theorem 1.

**Theorem 3** There exists a unique  $G$ -equivariant isomorphism  $\tilde{E}_P \simeq \tilde{E}_Q$ .

**Proof :** Let  $\mathcal{O}_1$  be the 22-dimensional nilpotent orbit in  $\overline{\mathcal{O}}$ . The pre-image of  $\mathcal{O}_1$  under the symplectic resolution  $\Pi_P : T_P \rightarrow \overline{\mathcal{O}}$  is a  $\mathbb{P}^5$ -bundle, i.e.  $\Pi_P^{-1}(\mathcal{O}_1) = \mathbb{P}(F)$  for some vector bundle  $\phi : F \rightarrow \mathcal{O}_1$  of rank 6. By our discussions in the precedent section, the variety  $\Pi_Q^{-1}(\mathcal{O}_1)$  is isomorphic to  $\mathbb{P}(F^*)$ .

Let  $v \in H^2(T^*G/P) \simeq H^2(G/P)$  be the Kodaira-Spencer class of the deformation  $E_P \rightarrow \mathfrak{c}$ . Note that  $v \neq 0$  since the deformation is non-trivial. The Picard group of  $G/P$  has rank one, thus either  $v$  or  $-v$  is  $\pi_P$ -ample. In both cases, the restriction of  $v$  to a fiber of  $\phi$  is non-trivial. By the proof of [Huy, Lemma 3.6], the normal bundle  $\mathcal{N}_{\Pi_P^{-1}(\mathcal{O}_1)|T_P}$  is isomorphic to  $\phi^*(F^*) \otimes \mathcal{O}_\phi(-1)$ . Let



us denote by  $p_P : Bl_1(\mathbb{P}E_P) \rightarrow \mathbb{P}E_P$  the blow-up of  $\mathbb{P}E_P$  along  $\mathbb{P}T_P^1$ . Then we have an isomorphism  $Bl_1(\mathbb{P}E_P) \simeq Bl_1(\mathbb{P}E_Q)$ . In particular, the birational map:  $\mathbb{P}E_P \dashrightarrow \mathbb{P}E_Q$  is a family of  $\mathbb{P}^5$ -standard flops. Now the claim follows from the same arguments of the proof of Theorem 2.  $\square$

## 4 Chow groups

We will denote by  $\tilde{E}$  the variety  $\tilde{E}_P \simeq \tilde{E}_Q$ . Let  $f^P : \tilde{E} \rightarrow E_P$  and  $f^Q : \tilde{E} \rightarrow E_Q$  be the two natural morphisms. Let  $\tilde{T}$  be the central fiber of the family  $\tilde{E} \rightarrow \mathbb{C}$ . Note that  $\tilde{T}$  has three irreducible components, one of which is  $\tilde{T}_P$ . Let  $f_0^P : \tilde{T} \rightarrow T_P$  and  $f_0^Q : \tilde{T} \rightarrow T_Q$  be the natural morphisms. We define two homomorphisms  $\Psi = f_*^Q \circ (f^P)^* : CH(E_P) \rightarrow CH(E_Q)$  and  $\Psi_0 = (f_0^Q)_* \circ (f_0^P)^* : CH(T_P) \rightarrow CH(T_Q)$ . Here  $CH(X)$  denotes the Chow ring with integer coefficients of the variety  $X$ .

**Theorem 4** *The maps  $\Psi, \Psi_0$  are both isomorphisms.*

**Proof :** For the proof of the first part, we will follow the proof of Theorem 2.1 in [L-L-W], where a similar result is proved for standard flops.

Let  $W_P$  be any  $k$ -dimensional sub-variety in  $E_P$ , by Chow's moving Lemma (see for example [Ful], section 11.4), up to replacing  $W_P$  by an equivalent cycle, we can assume that  $W_P$  intersects properly with the cycle  $\bar{T}_P^1 + T_P^0$ . Applying twice the blow-up formula (see [Ful], Theorem 6.7), we obtain that  $(f^P)^*(W_P) = \tilde{W}$ , where  $\tilde{W}$  is the strict transform of  $W_P$  under the birational map  $f^P$ , which gives that  $\Psi(W_P) = W_Q$ , where  $W_Q$  is the strict transform of  $W_P$  under the birational rational map  $\psi : E_P \dashrightarrow E_Q$ . Remark that  $W_Q$  intersects no longer properly with the cycle  $\bar{T}_Q^1 + T_Q^0$ .

Note that for any irreducible component  $C$  of  $W_Q \cap \bar{T}_Q^1$ , there exists an irreducible component  $B$  of the intersection  $W_P \cap \bar{T}_P^1$  such that  $C \subset e_Q^{-1}(e_P(B))$ . Let  $(f^Q)^*W_Q = \tilde{W} + \Sigma F_C$ , where  $F_C \subset (f^Q)^{-1}e_Q^{-1}e_P(B)$ . Note that we have  $\dim B = \dim W_P - \text{codim} \bar{T}_P^1$ . For a generic point  $s \in e_P(f^P(F_C))$ , we have

$$\dim F_{C,s} = \dim F_C - \dim e_P(B) \geq \dim W_P - \dim B = \text{codim} \bar{T}_P^1 = 6.$$

However a generic fiber of  $e_P|_{\bar{T}_P^1}$  is 5-dimensional, thus  $F_{C,s}$  contains positive dimensional fibers of  $f^P$ , thus  $f_*^P(F_C) = 0$ , which gives that  $f_*^P(f^Q)^*$  is an inverse of  $\Psi$ , thus the claim of the first part.

Let  $i_P : T_P \rightarrow E_P, i_Q : T_Q \rightarrow E_Q$  and  $i : \tilde{T} \rightarrow \tilde{E}$  be the natural inclusions. The notations are summarized in the following diagram:

$$\begin{array}{ccccc} & & T_P & \xrightarrow{i_P} & E_P \\ & \nearrow f_0^P & & \nearrow f^P & \searrow e_P \\ \tilde{T} & \xrightarrow{i} & \tilde{E} & & \mathcal{N} \\ & \searrow f_0^Q & & \searrow f^Q & \nearrow e_Q \\ & & T_Q & \xrightarrow{i_Q} & E_Q \end{array}$$

We have the following diagrams:

$$\begin{array}{ccccc} CH(E_P) & \xrightarrow{(f^P)^*} & CH(\tilde{E}) & \xrightarrow{(f^Q)^*} & CH(E_Q) \\ i_P^* \downarrow & & i^* \downarrow & & \downarrow i_Q^* \\ CH(T_P) & \xrightarrow{(f_0^P)^*} & CH(\tilde{T}) & \xrightarrow{(f_0^Q)^*} & CH(T_Q) \end{array}$$

The first diagram is commutative since  $f^P \circ i = i_P \circ f_0^P : \tilde{T} \rightarrow E_P$ . Now we show that the second diagram is also commutative. In fact, for any  $[Y] \in CH(\tilde{E})$ , we may assume it intersects properly with  $\tilde{T}$  by using Chow's moving lemma. Note that  $f^Q$  is an isomorphism outside  $\tilde{T}$ , thus  $(f^Q)_*[Y] = [f^Q(Y)]$ . It follows that  $i_Q^*(f^Q)_*[Y] = [f^Q(Y) \cap T_Q]$ . Similarly we have  $(f_0^Q)_*i^*[Y] = [f_0^Q(T \cap Y)]$ , which gives  $(f_0^Q)_*i^* = i_Q^*(f^Q)_*$ . It follows that  $i_Q^* \circ \Psi = \Psi_0 \circ i_P^*$ . Now the claim follows from the fact that both  $i_Q^*$  and  $i_P^*$  are both isomorphisms.  $\square$

**Remark 2** (i). As shown in [L-L-W], this also proves that  $\Psi$  (resp.  $\Psi_0$ ) induces an equivalence between the motives  $[E_P]$  and  $[E_Q]$  (resp.  $[T_P]$  and  $[T_Q]$ ).

(ii). The same proof also works for stratified Mukai flops on Grassmanians  $T^*Gr(2, V) \dashrightarrow T^*Gr(2, V^*)$ , which has been proved using a different method by Namikawa ([Na1]) on the level of  $K$ -groups. His proof morally works for stratified Mukai flops of type D. So the only unsolved case is the stratified Mukai flops of type  $E_{6,II}$ .

**Example 1** To simplify the notations, we denote by  $b_P : B_P \rightarrow T_P$  the blow-up along  $X_P$  and  $F_P$  its exceptional divisor. Let  $\Sigma_P \subset T_P$  be the closure of the 27-dimensional  $G$ -orbit and  $\tilde{\Sigma}_P$  its proper transform under  $b_P$ . Let  $h_P : \tilde{T}_P \rightarrow B_P$  the blow-up along  $\tilde{\Sigma}_P$ . Similar notations will be used on the dual-side. Finally let  $T_P \xleftarrow{g_P} \tilde{T}_P \simeq \tilde{T}_Q \xrightarrow{g_Q} T_Q$  be the compositions of blow-ups. Now we will show that the naturally defined morphism  $\Phi := (g_Q)_* \circ (g_P)^* : CH(T_P) \rightarrow CH(T_Q)$  is not an isomorphism. Note that this situation is very similar to that of usual Mukai flops (see for example [Na1, section 2], [L-L-W, section 6]).

By the blow-up formula, we have  $(b_P)^*(\Sigma_P) = \tilde{\Sigma}_P + \Gamma_P$ , where  $\Gamma_P$  is a cycle supported on  $F_P$ . Using Chow's moving lemma (with ambient space  $F_P$ ), we can assume that  $\Gamma_P$  intersects properly with  $F_P \cap \tilde{\Sigma}_P$ . Then  $\gamma := (h_Q)_* \circ (h_P)^*\Gamma_P$  will be a cycle supported on  $F_Q$ . Note that  $b_Q(F_Q)$  is 16-dimensional, so  $(b_Q)_*\gamma = 0$ . Note that the birational map  $B_P \dashrightarrow B_Q$  is a family of  $\mathbb{P}^5$  Mukai flops. One deduces that (see Example 6.5 [L-L-W]) that  $(h_Q)_* \circ (g_P)^*(\Sigma_P) = 5\tilde{\Sigma}_Q + \gamma$ , which gives that  $\Phi(\Sigma_P) = 5\Sigma_Q$ . Similarly for  $\Phi' := (g_P)_* \circ (g_Q)^* : CH(T_Q) \rightarrow CH(T_P)$ , we get  $\Phi'(\Sigma_Q) = 5\Sigma_P$ . Thus  $\Phi$  and  $\Phi'$  are not isomorphisms if  $\Sigma_P$  is non-zero in  $CH^5(T_P)$ . This can be seen as follows.

Let  $q : \mathbb{P}(T^*X_P \oplus \mathcal{O}) \rightarrow X_P$  be the natural projection and  $\bar{\Sigma}_P$  the closure of  $\Sigma_P$ . By [Ful, Prop. 3.3], we have  $(\pi_P^*)^{-1}(\Sigma_P) = q_*(c_{16}(\xi) \cap \bar{\Sigma}_P)$ , where  $\xi$  is the universal quotient bundle (of rank 16) of  $q^*(T^*(X_P) \oplus \mathcal{O})$ . Note that  $c_{16}(\xi) = \sum_{i=0}^{16} c_1(\mathcal{O}(1))^i \cap c_{16-i}(q^*T^*X_P)$ . Thus we get  $(\pi_P^*)^{-1}(\Sigma_P) = \sum_{i=0}^{16} q_*(c_{16-i}(q^*T^*X_P) \cap c_1(\mathcal{O}(1))^i \cap \bar{\Sigma}_P) = \sum_{i=0}^{16} c_{16-i}(T^*X_P) \cap q_*(c_1(\mathcal{O}(1))^i \cap \bar{\Sigma}_P)$ . For reason of dimension,  $q_*(c_1(\mathcal{O}(1))^i \cap \bar{\Sigma}_P) = 0$  if  $i \neq 5$ , and  $q_*(c_1(\mathcal{O}(1))^5 \cap \bar{\Sigma}_P) = d[X/P]$ , where  $d$  is the degree of the closure of  $\hat{X}_+$ . From this, we deduce that  $(\pi_P^*)^{-1}(\Sigma_P) = d c_5(T^*X_P)$ , which is non-zero since  $c_5(T^*X_P) \neq 0$  (this can be deduced for example from the calculus made in [I-L, section 7]).

**Example 2** Let  $Z$  be the graph of the flop  $T_P \dashrightarrow T_Q$  and  $T_P \xleftarrow{q_1} Z \xrightarrow{q_2} T_Q$  the two graph projections. Let  $\gamma : \tilde{T}_P \rightarrow Z$  be the natural morphism, which is a blow-up along a smooth subvariety (Corollary 1). By proposition 6.7 (b) ([Ful]), the map  $\gamma_* \circ \gamma^* : CH(Z) \rightarrow CH(Z)$  is the identity.

Now consider the morphism  $\Gamma := (q_2)_* \circ q_1^* : CH(T_P) \rightarrow CH(T_Q)$ . Then  $\Gamma = (q_2)_* \circ \gamma_* \circ \gamma^* \circ q_1^* = \Phi$ . Thus the morphism  $\Gamma$  induced by the graph of the flop does not give an isomorphism.

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